

TOWARD OPEN-SET FACE RECOGNITION

Manuel Günther, Steve Cruz, Ethan M. Rudd, Terrance E. Boulton
Vision and Security Technology (VAST) Lab

Speaker: Steve Cruz



Vision And Security Technology
University of Colorado Colorado Springs

WHY DOES THIS MATTER?

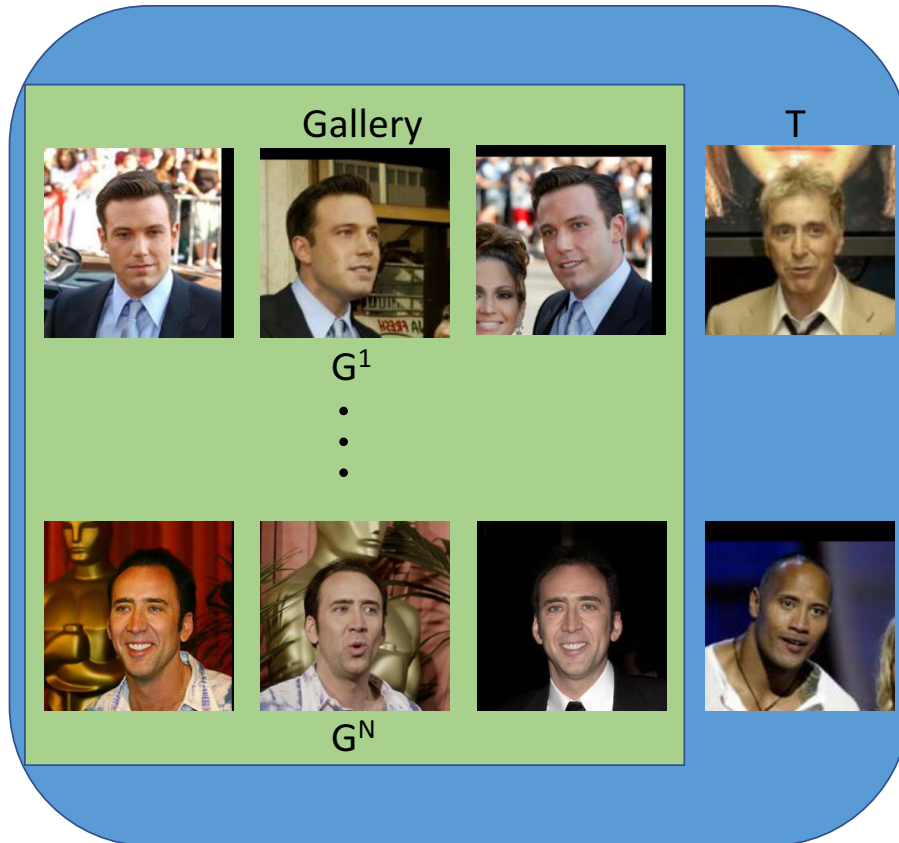


KNOWN UNKNOWNNS



OPEN-SET FACE RECOGNITION

Training

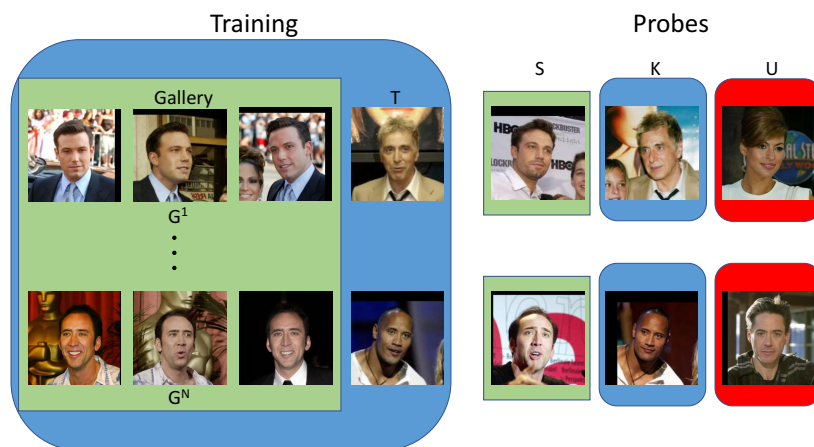


Probes



OUR PROTOCOL

⊙ Labeled Faces in the Wild (LFW)



Identities	Images per Subject	# of Images	Category
610	>3	6733	Known (S)
1070	2 or 3	2431	Known Unknown (K)
4069	1	4069	Unknown Unknown (U)
5749	-	13233	$S \cup K \cup U$

EXPERIMENTS

⊙ VGG

- Feature dimension: 4096
- Removed last layer including ReLU
- Used funneled LFW images

⊙ http://www.robots.ox.ac.uk/~vgg/software/vgg_face/

COSINE SIMILARITY

- ⊙ Use as baseline measurement

$$s_{\text{avg}}(\bar{G}^g, P) = \cos(\bar{G}^g, P)$$

$$\bar{G}^g = \frac{1}{3} \sum_{i \in \{0,1,2\}} G_i^g$$

$$s_{\text{max}}(G^g, P) = \max_{i \in \{0,1,2\}} \cos(G_i^g, P)$$

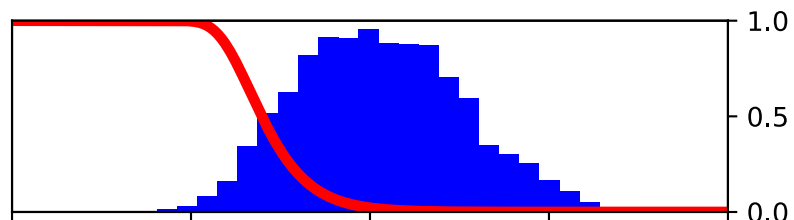
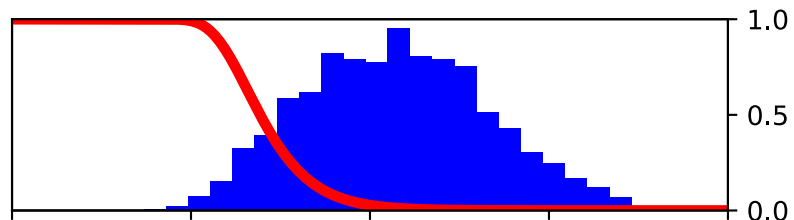
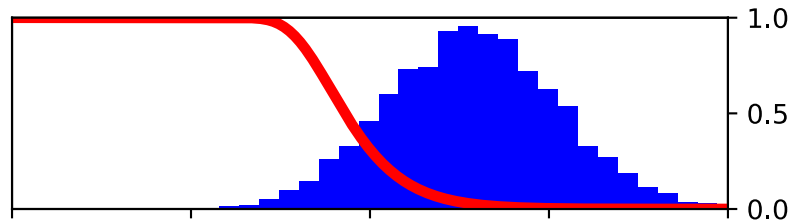
LINEAR DISCRIMINANT ANALYSIS (LDA)

$$y_{G_i^g} = W^T G_i^g \quad y_{\bar{G}^g} = W^T \bar{G}^g \quad y_P = W^T P$$

$$s_{\max}(y_{G_i^g}, y_P)$$

$$s_{\text{avg}}(y_{\bar{G}^g}, y_P)$$

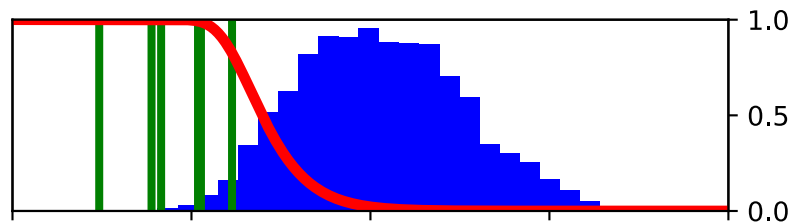
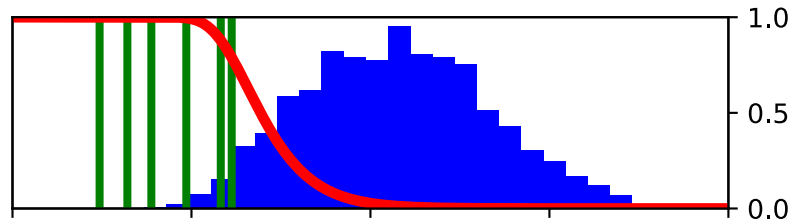
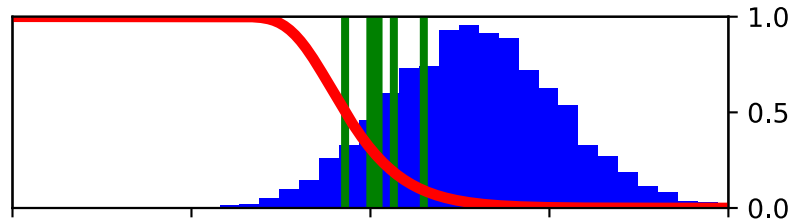
EXTREME VALUE MACHINE (EVM)

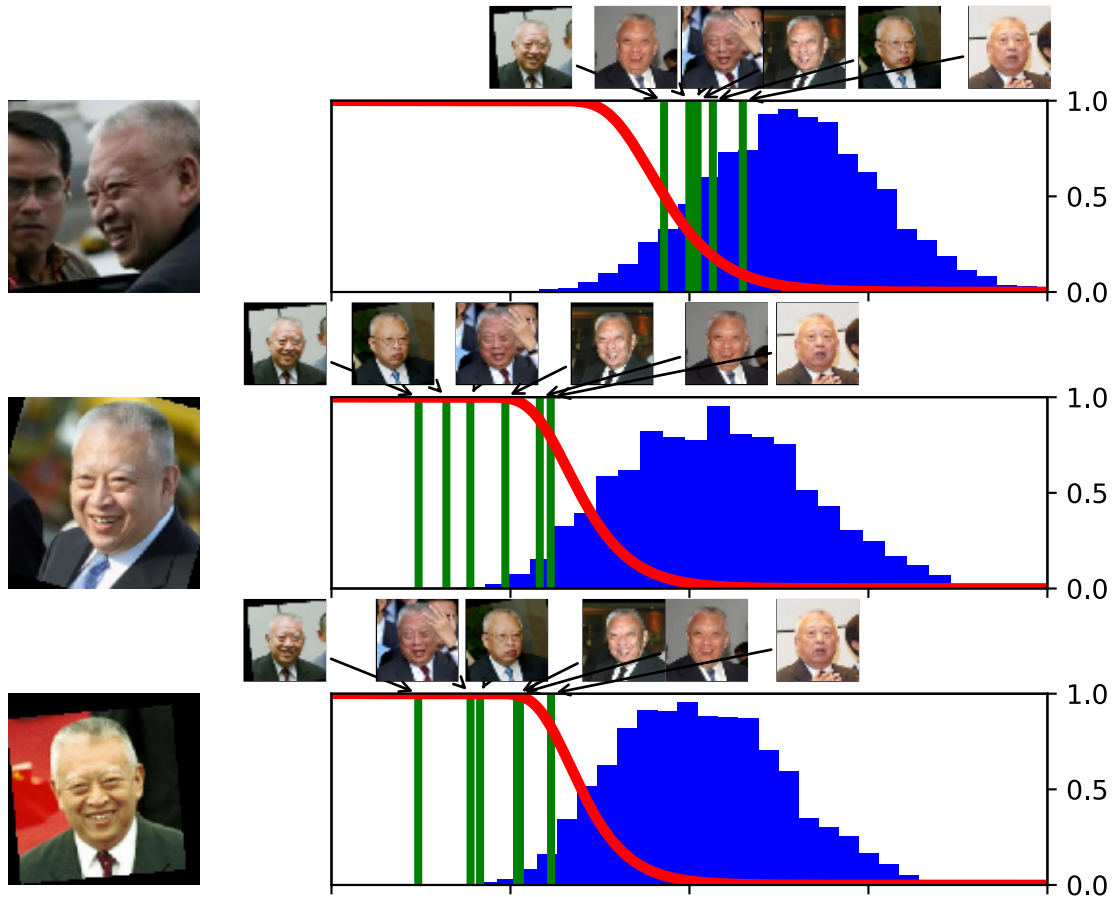


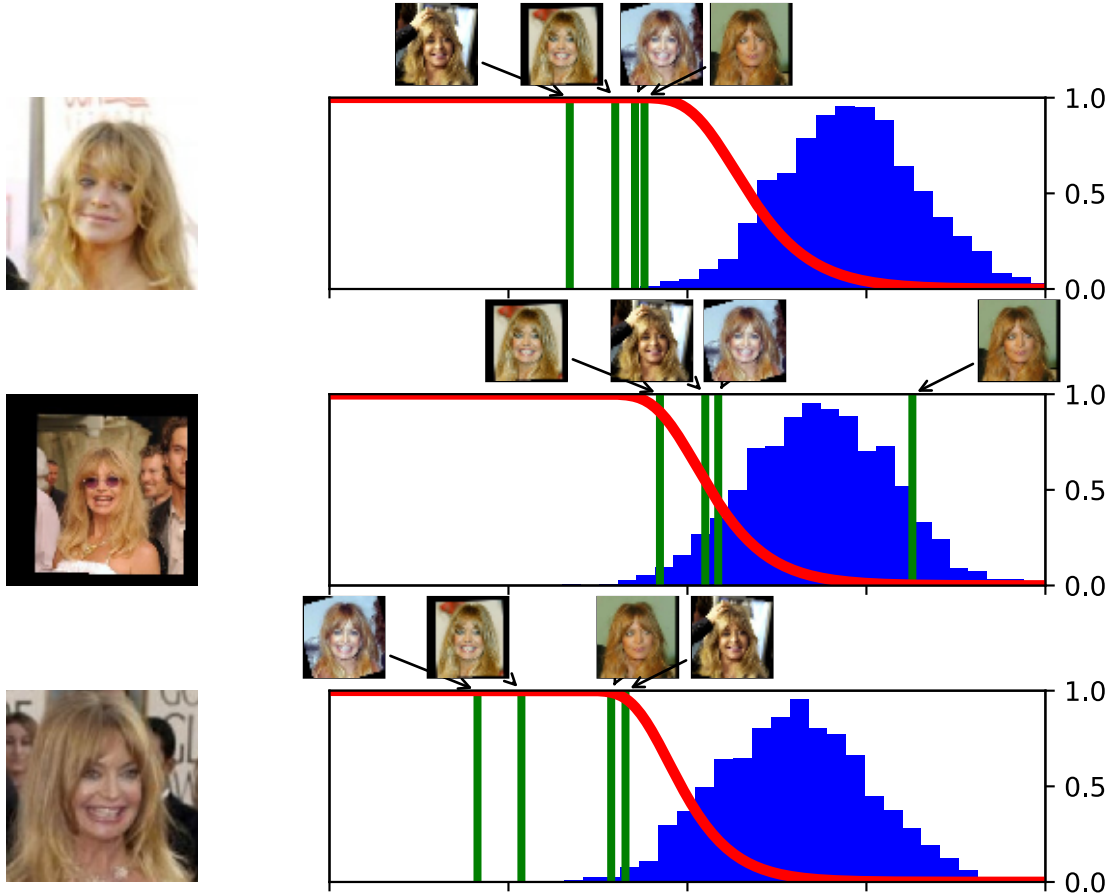
$$\Psi(G_i^g, P; \kappa_i^g, \lambda_i^g) = \exp \left(- \left(\frac{d(G_i^g, P)}{\lambda_i^g} \right)^{\kappa_i^g} \right)$$

$$s_{\max}(G^g, P) = \max_{i \in \{0,1,2\}} \Psi(G_i^g, P, \kappa_i^g, \lambda_i^g)$$

$$s_{\text{avg}}(G^g, P) = \Psi(\bar{G}^g, P, \bar{\kappa}^g, \bar{\lambda}^g)$$

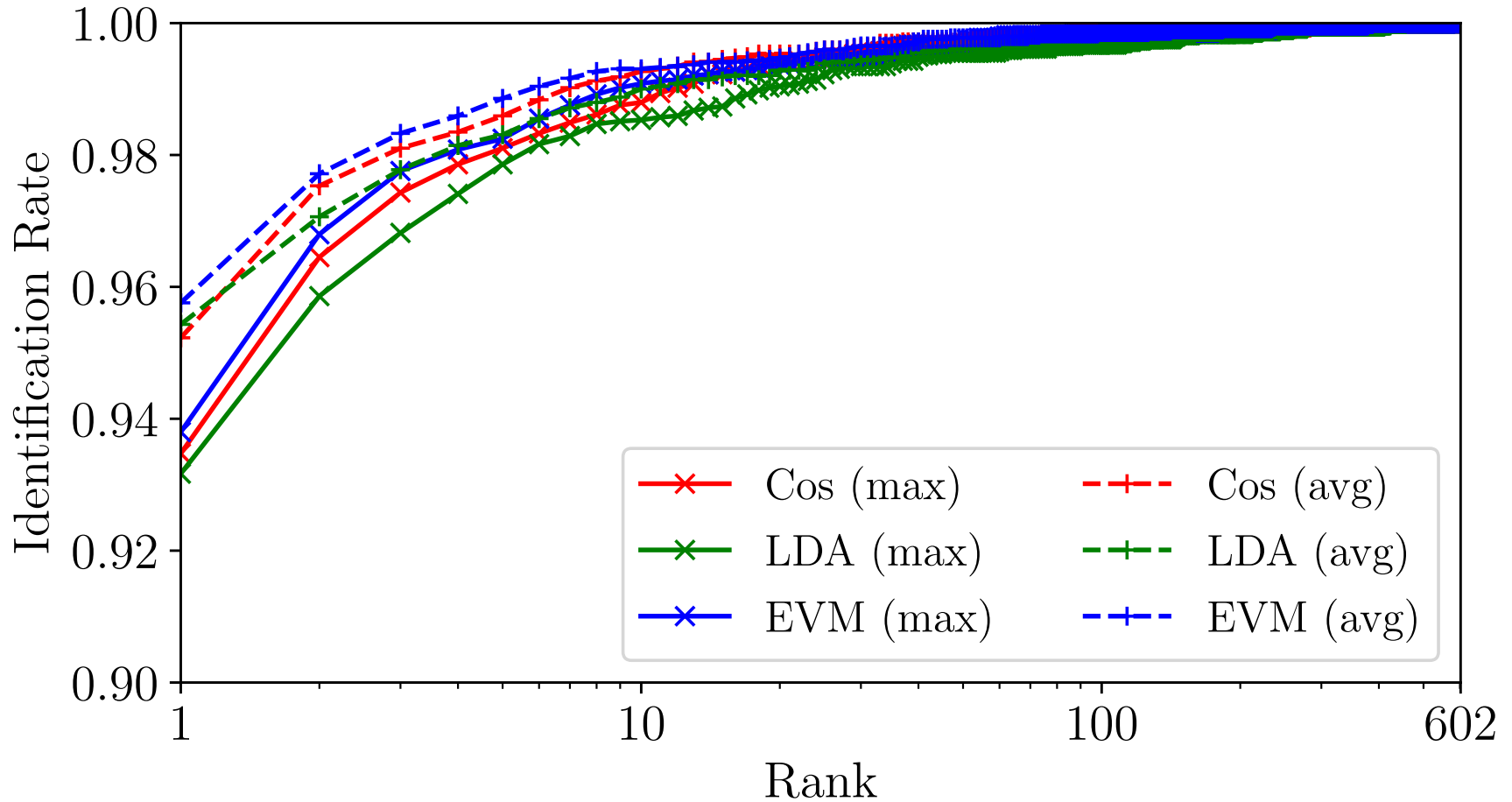






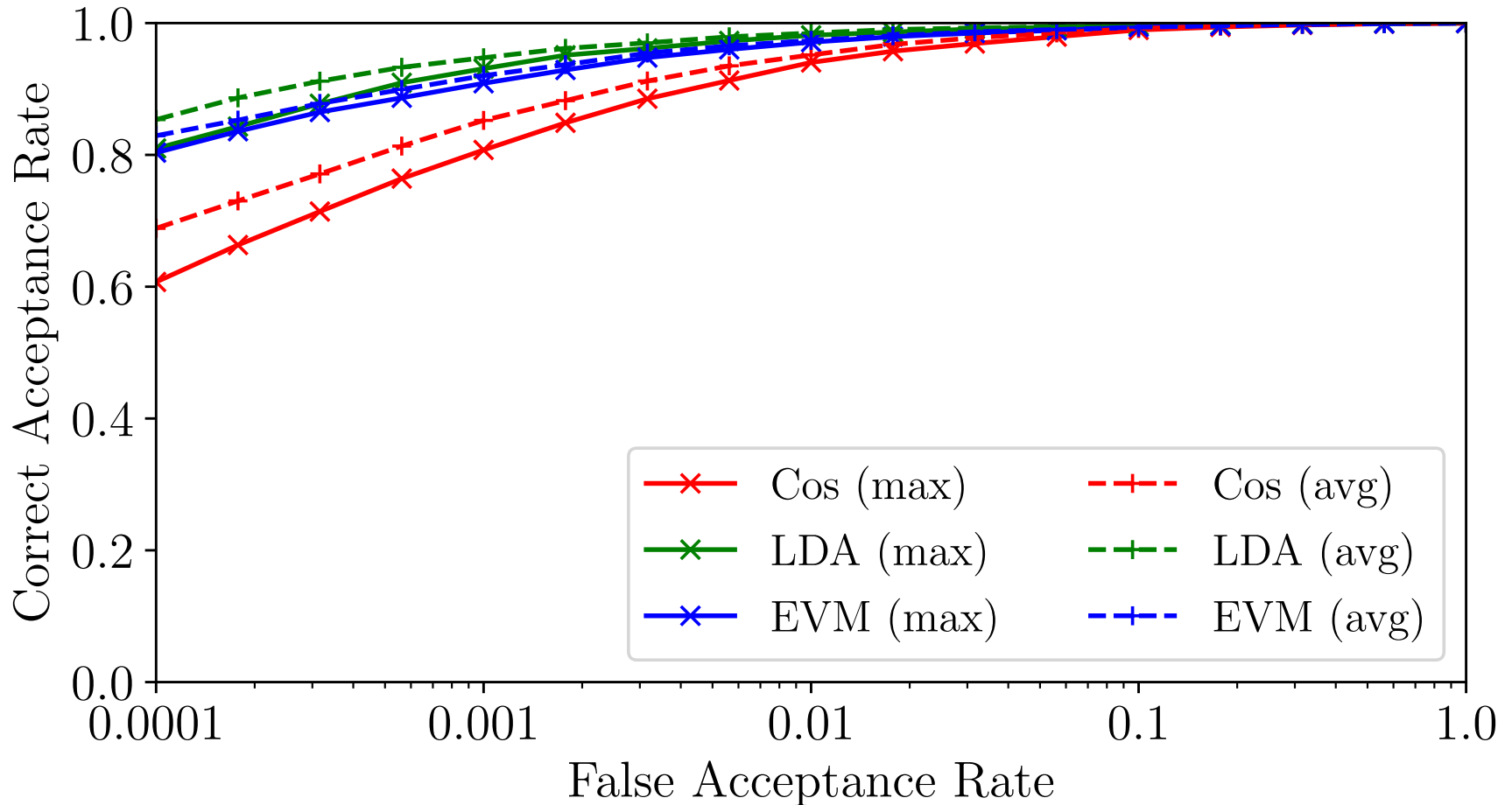
RESULTS

Closed-set identification



RESULTS

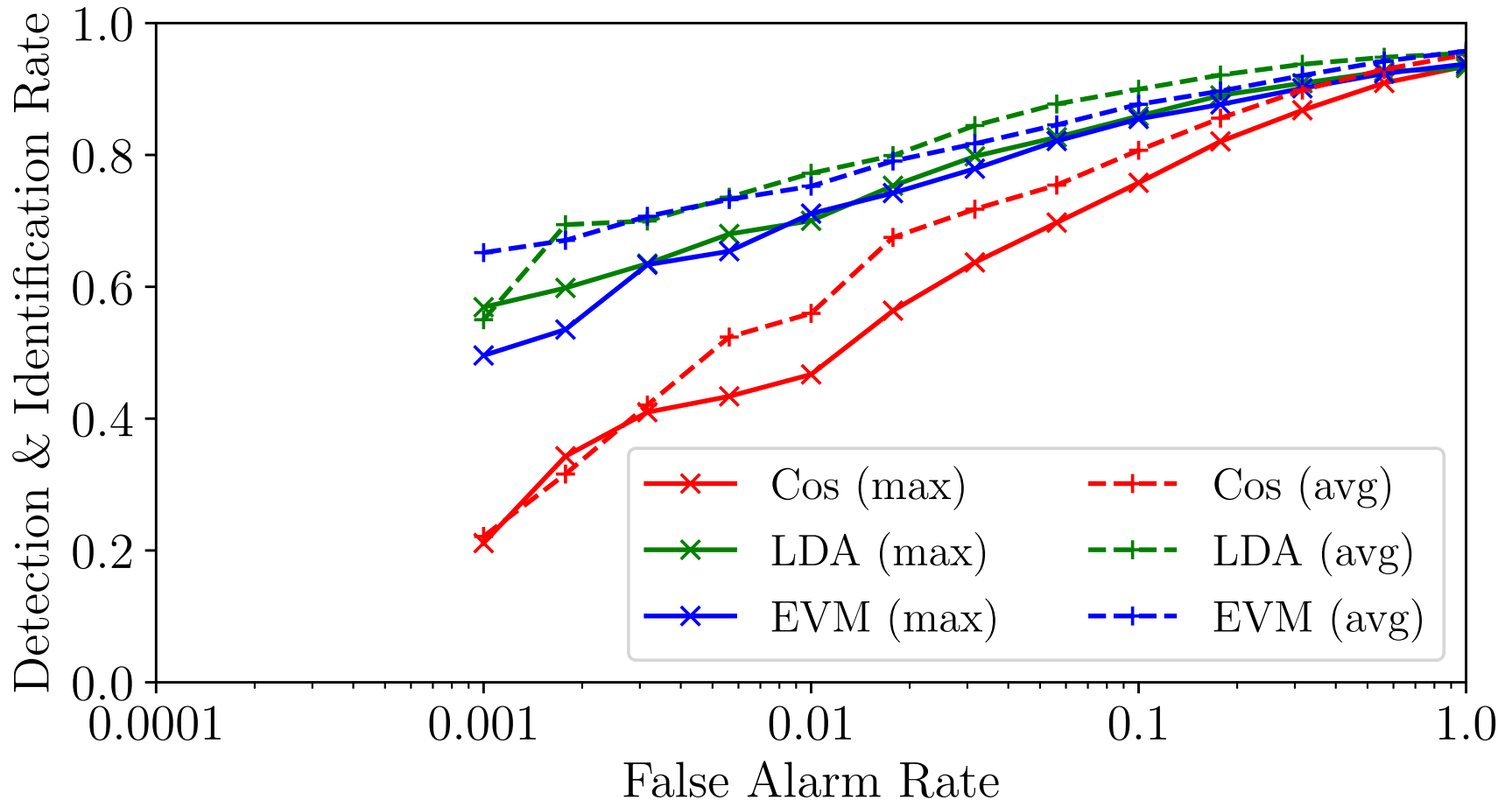
Closed-set verification



- ⊙ False Alarm Rate (FAR)
 - Computed on unknowns ($K + U$)
 - 1 similarity over threshold
- ⊙ Detection and Identification Rate (DIR)
 - Computed on the knowns (S)
 - Most similar in gallery and over threshold
- ⊙ Increase threshold
 - Decrease FAR
 - Decrease DIR

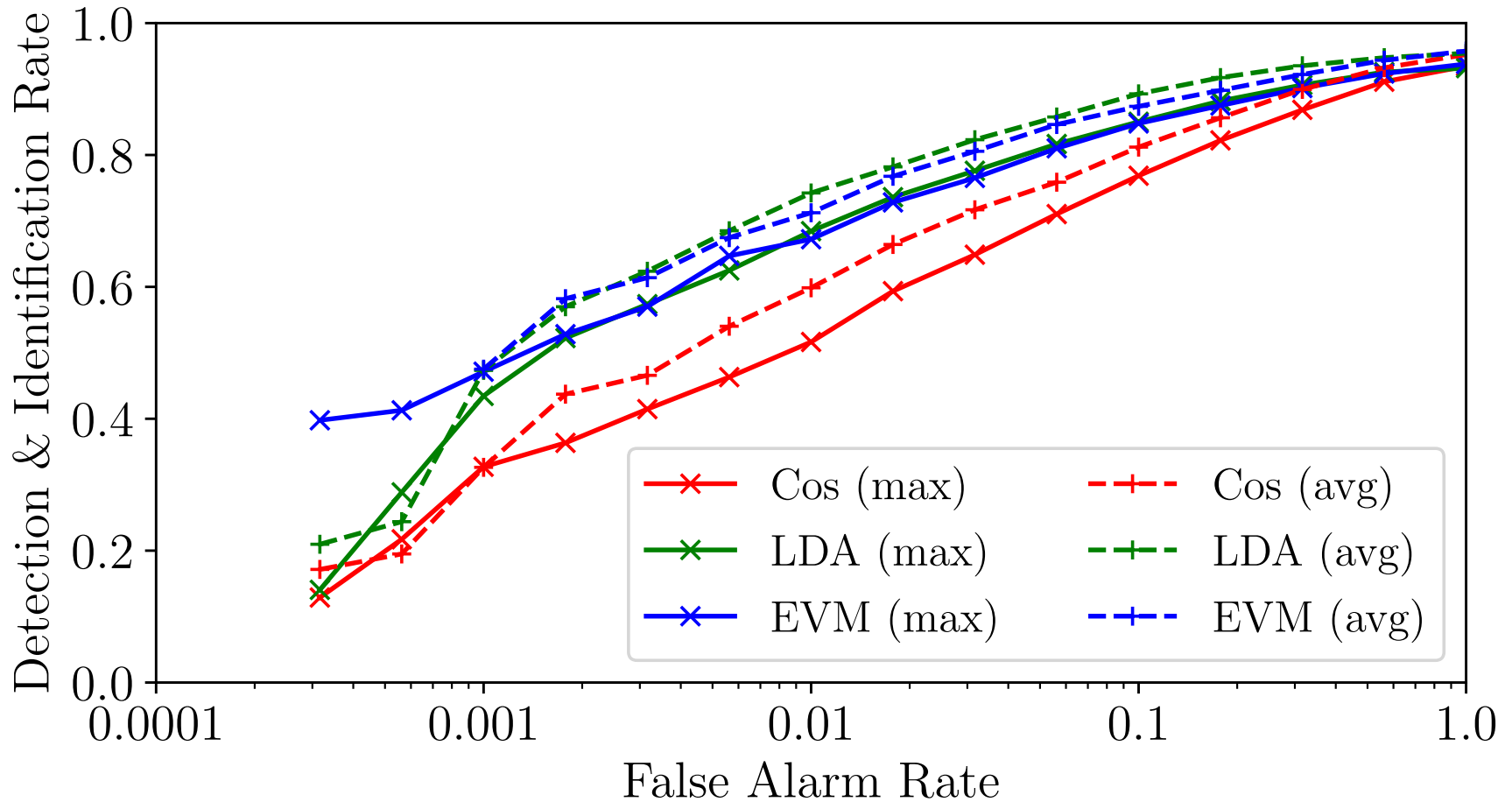
RESULTS

Open-set identification with known unknowns (K)



RESULTS

Open-set identification with unknown unknowns (U)



CONCLUSION

- ⊙ Introduced open-set protocol for LFW
 - Known (S)
 - Known unknowns (K)
 - Unknown unknowns (U)
- ⊙ Closed-set solved for LFW?
- ⊙ Open-set unsolved!
- ⊙ Evaluated EVM
 - Open-set by design
 - Step Toward Open-Set Face Recognition